

# REGISTRY OF ELECTION FINANCE

The Registry of Election Finance met Wednesday, April 10, 2019 at Suite 104 of Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Presiding over the meeting was Chairperson William (Paz) Haynes, III. Attending the meeting were board members Tom Lawless and Tom Morton. Henry Fincher participated via telephone.

## *Approval of Meeting Minutes*

On motion by Morton, seconded by Lawless, the board voted unanimously to amend the minutes to accurately reflect that both motions made in the Angela Hedgecough matter were made by Lawless. The motion to approve the minutes as amended from the March 13, 2019 meeting passed the board unanimously.

## *Requests for Reconsideration*

**19-10 Alex Dunn**, for failure to file a 2018 3<sup>rd</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Dunn has had no previous matters before the board.

The board voted at its January 9, 2019 meeting to issue a show cause notice.

Director Rawlins informed the board that the report has been filed but that there has been no response to the show cause notice.

The board voted at its February 13, 2019 meeting to assess Mr. Dunn a \$5,000 civil penalty. Mr. Dunn requested a reconsideration of the \$5,000 civil penalty assessment.

Mr. Dunn filed amended reports, which closed his campaign account, and submitted a statement for the board's consideration.

On motion by Lawless, seconded by Morton, the board voted unanimously to reconsider the \$5,000 civil penalty assessment. On motion by Fincher, seconded by Morton, the board voted unanimously to reduce the civil penalty from \$5,000 to \$0.

## *Case Subject to Approval of Civil Penalty Order*

**19-43 Jeff Ford**, for failure to file a 2018 4<sup>th</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Ford has had no previous matters before the board.

The board voted at its March 13, 2019 meeting to issue a show cause notice.

Director Rawlins informed the board that the report has been filed but that there has been no response to the show cause notice.

On motion by Haynes, seconded by Fincher, the board voted unanimously to defer any further action in this matter until the next regularly scheduled meeting and requested that Registry staff contact Mr. Ford and request a response to the show cause notice.

**19-37 Justin Ford**, for failure to file a 2018 Pre-Primary campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Ford has had a previous matter before the board. (see below)

The board voted at its February 13, 2019 meeting to issue a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Lawless, seconded by Morton, the board voted unanimously to assess Mr. Ford a \$10,000 civil penalty.

**19-38 Justin Ford**, for failure to file a 2018 3<sup>rd</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Ford has had a previous matter before the board. (see above)

The board voted at its February 13, 2019 meeting to issue a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Lawless, seconded by Morton, the board voted unanimously to assess Mr. Ford a \$10,000 civil penalty.

**19-39 Torrey Harris**, for failure to file a 2018 3<sup>rd</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Harris has had no previous matters before the board.

The board voted at its February 13, 2019 meeting to issue a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Lawless, seconded by Morton, the board voted unanimously to assess Mr. Harris a \$10,000 civil penalty.

**19-40 Joe Towns, Jr.**, for failure to file a 2018 Pre-General campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Rep. Towns has had previous matters before the board.

The board voted at its February 13, 2019 meeting to issue a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Lawless, seconded by Morton, the board voted unanimously to assess Rep. Towns a \$10,000 civil penalty.

**19-44 1<sup>st</sup> TN-PAC**, for failure to file a 2018 4<sup>th</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

The board voted at its March 13, 2019 meeting to issue a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Lawless, seconded by Morton, the board voted unanimously to assess the organization a \$10,000 civil penalty. (Note: 1<sup>st</sup> TN-PAC is not associated with First Tennessee Bank)

**19-45 Quest PAC**, for failure to file a 2018 4<sup>th</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board.

The board voted at its March 13, 2019 meeting to issue a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Lawless, seconded by Fincher, the board voted unanimously to defer any further action in this matter until the next regularly scheduled meeting.

**19-46 Tennessee NASW PAC for Candidate Election**, for failure to file a 2018 4<sup>th</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

The board voted at its March 13, 2019 meeting to issue a show cause notice.

Karen Franklin, representative for the organization, filed the required report, submitted a sworn statement and personally appeared before the board and explained the failure to timely file the required report.

On motion by Fincher, seconded by Lawless, the board voted unanimously to take no further action in this matter.

**19-47 Tennessee Next**, for failure to file a 2018 4<sup>th</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board.

The board voted at its March 13, 2019 meeting to issue a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Lawless, seconded by Morton, the board voted unanimously to assess the organization a \$10,000 civil penalty.

### ***Cases Considered for Issuance of Show Cause Notice***

**Ed Butler**, for failure to file a 2018 4<sup>th</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Butler has had previous matters before the board.

On motion by Morton, seconded by Fincher, the board voted unanimously to defer any action in this matter until the next regularly scheduled meeting.

**Justin Cornett**, for failure to timely file a 2018 4<sup>th</sup> Quarter campaign financial disclosure report. Class one (1), seven days late, maximum one hundred seventy-five (\$175) civil penalty. Mr. Cornett has had previous matters before the board.

On motion by Morton, seconded by Fincher, the board voted unanimously to take no action.

**Ashley Forjet**, for failure to timely file a 2018 Year-End Supplemental campaign financial disclosure report. Class one (1), seven days late, maximum one hundred seventy-five (\$175) civil penalty. Ms. Forjet has had a previous matter before the board.

On motion by Morton, seconded by Fincher, the board voted unanimously to take no action.

**Bill Ketron**, for failure to file a 2018 Year-End Supplemental campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Ketron has had previous matters before the board.

On motion by Morton, seconded by Fincher, the board voted unanimously to defer any action in this matter until the next regularly scheduled meeting.

**Joe Towns, Jr.**, for failure to file a 2018 4<sup>th</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Rep. Towns has had previous matters before the board.

On motion by Morton, seconded by Fincher, the board voted unanimously to issue a show cause notice.

**Empower Women PAC**, for failure to file a 2018 4<sup>th</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board.

On motion by Morton, seconded by Fincher, the board voted unanimously to issue a show cause notice.

**Huck PAC**, for failure to file a 2018 4<sup>th</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

Director Rawlins requested that the board defer any action in this matter until then next regularly scheduled meeting.

The board voted at its March 13, 2019 meeting to defer any action in this matter until the next regularly scheduled meeting.

Director Rawlins informed the board that the report has been filed but that there has been no further response from the organization.

On motion by Morton, seconded by Fincher, the board voted unanimously to issue a show cause notice.

## ***Audits***

### ***Gayle Jordan***

On motion by Morton, seconded by Lawless, the board voted unanimously to approve Ms. Jordan's 2018 Pre-General Contribution Audit with the included findings.

On motion by Morton, seconded by Lawless, the board voted unanimously to take no action.

### ***Kyle Southern***

On motion by Morton, seconded by Lawless, the board voted unanimously to approve Mr. Southern's 2018 2<sup>nd</sup> Quarter Contribution Audit with no findings.

## ***Sworn Complaint***

### ***C19-01 William T. "Billy" Spivey***

Director Rawlins provided the board with a sworn complaint filed with the Registry from Joey Blevins against William T. "Billy" Spivey.

After discussion, on motion by Lawless, seconded by Morton, the board voted unanimously to dismiss the sworn complaint.

## ***Other Business***

Without objection, the board voted unanimously to schedule the next Registry meeting for June 12, 2019.

Without objection, the board voted unanimously to adjourn the meeting until the next regularly scheduled meeting.